

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/396,429	09/15/1999	JOHN S. HENDRICKS	5815	7434	
	7590 02/20/2007 & SHERIDAN, LLP/		EXAMINER		
SEDNA PATE	NT SERVICES, LLC		KOENIG, ANDREW Y		
595 SHREWSBURY AVENUE SUITE 100			ART UNIT	PAPER NUMBER	
SHREWSBUR	Y, NJ 07702		2623		
SHORTENED STATUTOR	Y PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE		
3 MONTHS		02/20/2007	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Application No.	Applicant(s)				
Office Action Summary		09/396,429	HENDRICKS ET AL.				
		Examiner	Art Unit				
•		Andrew Y. Koenig	2623				
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence add	lress			
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period we are to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONEI	I. lely filed the mailing date of this cor (35 U.S.C. § 133).				
Status							
1)[X]	Responsive to communication(s) filed on 13 Ju	dv 2006					
		action is non-final.					
· <u> </u>	/—		socution as to the	marita ia			
<u>ا</u> رت	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
	Siddle in addordance with the practice ander 2	x parte Quayle, 1955 C.D. 11, 45					
Dispositi	ion of Claims						
4)🖂	4) Claim(s) 1,3-9,14,18,23,24,28-32,34,37,40-50,52-56 and 58-64 is/are pending in the application.						
	4a) Of the above claim(s) is/are withdrawn from consideration.						
5)	5) Claim(s) is/are allowed.						
6)⊠	6) Claim(s) <u>1,3-9,14,18,23,24,28-32,34,37,40-50,52-56 and 58-64</u> is/are rejected.						
7)	_						
8)[Claim(s) are subject to restriction and/or	election requirement.		•			
Applicati	on Papers						
9) The specification is objected to by the Examiner.							
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
11)[The oath or declaration is objected to by the Exa			• •			
Priority u	ınder 35 U.S.C. § 119	•					
12)	12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
_	a) ☐ All b) ☐ Some * c) ☐ None of:						
, -	1. Certified copies of the priority documents	s have been received.					
	2. Certified copies of the priority documents		on No				
	3. Copies of the certified copies of the priori			stage			
	application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.							
Assachus :	Val						
Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)							
	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary (Paper No(s)/Mail Date					
3) 🔲 Inforn	nation Disclosure Statement(s) (PTO/SB/08)	5) 🔲 Notice of Informal Pa					
Paper	No(s)/Mail Date	6)					

DETAILED ACTION

Response to Arguments

1. Applicant's arguments with respect to claims 1, 3-9, 14, 18, 19, 23, 24, 28-32, 34, 37, 40-43, 45-50, 52-56, and 58-64 have been considered but are moot in view of the new ground(s) of rejection.

Regarding claims 1, 3-9, 14, 18-19, 23-24, and 28-29, 42-44, 52-54, 60, 63, and 64, the applicant argues that Graczyk does not disclose, teach, or suggest hardware upgrade that "data comprising information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus." The examiner agrees, but notes references already of record teach this feature and one of ordinary skill in the art at the time of the invention would be motivated to do so.

Palazzi discloses a modem for accessing interactive services/on-line databases provided by the host databases throughout the entire reference including but not limited to column 1, line 5 - column 4, line 45, column 5, lines 63-66 and column 9, line 60 - column. 10, line 35. Further, Palazzi teaches that the information is interactive in that the user can retrieve specific information (col. 10, II. 6-35), and that modem transactions are two-way communications over the modem (in that they use handshaking) (col. 5, II. 64-66) with the interactive service via submenus (col. 8-9, II. 63-20)

The examiner notes that the presence of a modem can reasonably be construed as a an upgrade as the system of Palazzi is an upgrade to other terminals without this

functionality. In addition, Palazzi teaches generating menus comprising information from an interactive service (col. 9, II. 3-20, col. 10, II. 5-35). Accordingly, the combination of Graczyk, Banker, Palazzi, Granger, and Florin teaches the claimed limitations.

Regarding claims 30-32, 34, 37, 40-41, 45-50, 55, 56, and 58-59, the applicant argues that Palazzi, Banker, and Florin do not disclose, teach, or suggest any hardware upgrade that allows a preexisiting modem of the television terminal to be upgraded for generating menus having interactive services that access on-line databases. The examiner disagrees; Palazzi discloses a modem for accessing interactive services/online databases provided by the host databases throughout the entire reference including but not limited to column 1, line 5 - column 4, line 45, column 5, lines 63-66 and column 9, line 60 - column. 10, line 35. The examiner notes that the presence of a modem can reasonably be construed as an upgrade as the system of Palazzi is an upgrade to other terminals without this functionality. In addition, Palazzi teaches generating menus comprising information from an interactive service (col. 9, II. 3-20, col. 10, II. 5-35). As discussed above, it is clear that by increasing the functionality of the modem as taught by Palazzi is an upgrade in that the modem of Palazzi increases and improves the device. Accordingly, the combination of Palazzi, Banker, and Florin teaches the claimed limitations.

The applicant further argues that Granger and Florin are not prior art, and the parent application has an effective filing date of 09 December 1992, and the parent application discloses a modem, an upgrade card, and possible hardware upgrades such Application/Control Number: 09/396,429

Art Unit: 2623

as the level B interactive unit. The examiner recognizes these distinctions, however, a general teaching of a hardware upgrade with modem functionalities does not provide evidence of each limitation in the claim. For example, claim 30 recites that the modem communicates with the interactive service and an on-line database, wherein the interactive service and the on-line database are outside of the television program delivery system, the specification of the parent application filed 09 December 1992. merely discusses communications with outside services (see page. 55, II. 3-6), but not outside of the television program delivery system as claimed. As for claim 42, there is no support in the parent application filed 09 December 1992 for "a hardware upgrade inserted into the set top terminal" instead the hardware upgrade is merely connected to the device with a connector, such as a multi-pin connector (see specification of 07/991,074, pg. 54, II. 1-14). From the disclosure of the parent application, the hardware upgrade is not inserted into the set top terminal, but is merely connected to the terminal via a connection. The applicant apparently relies on the card 700 of figure 7b, which shows the upgrade card for generating the desired menus, and separate modem (627). The examiner notes that figure 9a and 9b show upgrade configurations for upgrading a simple decompression box (720). As a result, the applicant has provided no evidence that the parent application filed 09 December 1992 possessed any additional upgrade to the menu upgrade (700) and the simple decompression box.

Priority

2. This application repeats a substantial portion of prior Application No. 07/991,074, filed 09 December 1992, and adds and claims additional disclosure not presented in the prior application. Since this application names an inventor or inventors named in the prior application, it may constitute a continuation-in-part of the prior application. Should applicant desire to obtain the benefit of the filing date of the prior application, attention is directed to 35 U.S.C. 120 and 37 CFR 1.78.

The instant applicant claims to be a divisional of both U.S. Application No. 07/991,074 and U.S. Application 08/160,194 (now U.S. Patent 5,990,927), which are both incorporated by reference. U.S. Application 08/160,194 is a continuation-in-part of U.S. Application No. 07/991,074. Consequently, it is unclear how the instant application is fully supported as a divisional from U.S. Application No. 07/991,074, when it adds the subject material from the continuation-in-part U.S. Application 08/160,194. As a result, it appears that the instant application is a continuation-in-part of U.S. Application No. 07/991,074 (instead of the currently claimed divisional).

Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Application/Control Number: 09/396,429

Art Unit: 2623

4. Claims 1, 3-9, 14, 16, 18, 19, and 23, 24, 28, and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Graczyk in view of Banker, Palazzi, Granger, and Florin.

Considering claim 1, Graczyk discloses a system comprising:

- a) a television program delivery system (broadcast or cable TV) (col. 5, lines 62-68);
- b) a terminal (24,26) having a microprocessor and comprising a receiver (col. 4, line 63-
- 66) adapted to receive at least some of the television program signals;
- c) a hardware upgrade (12) comprising:
 - (c1) an interface (16450 interface) (col. 7, lines 9-18) to the terminal; and
- (c2) a modem (104) connected to the interface capable of communicating with one or more headends (central facilities), wherein the RC224AT processor (claimed microprocessor) is connected between the interface (16450) and the model (10464), see figure 2, col. 6, lines 24-38.

Further, Graczyk teaches that the upgrade circuit (44) is insertable into the computer via an ISA bus (col. 6, lines 62-67, figure 41).

Although Graczyk discloses a multipurpose television terminal (24,26) and that various modifications and alternative embodiments are apparent (col. 36, lines 14-20), he fails to specifically disclose a set top terminal having a microprocessor instructions for prompting generation of menus, a modem communicating with one or more headends, and a hardware upgrade comprising communicating and downloading data from one or more headend to a local storage, and wherein the settop terminal receives television program signals based on subscriber input as recited in the claims.

Application/Control Number: 09/396,429

Art Unit: 2623

Banker discloses a system comprising:

a) a settop terminal (40, figure 1) having microprocessor (128,136) instructions for prompting generation of menus and comprising: a television program receiver (100,150) for receiving television programs from one or more headends (10); and

b) a modem (col. 4, lines 40-50) communicating with one or more headend (10), wherein the receiver receives television program signals based on subscriber input (see the entire reference including but not limited to col. 4, lines 40-57); and wherein modem downloads data from one or more headends to a local storage (col. 6, line 59 – col. 7, line 3 and col. 7, lines 24-28).

Banker's system facilitates an efficient two-way communication, menu selection between one or more headend and a subscriber terminal with data re-programmable and downloadable dynamic features.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk's system to include a set top terminal having a microprocessor instructions for prompting generation of menus and a downloading data from one or more headend to a local storage, and wherein the settop terminal receives television program signals based on subscriber input and a modem communicating with one or more headends, as taught by Banker, for the advantage of creating an efficient two-way, menu selection with dynamic re-programmable and downloadable data processing set top terminal in communication with one or more headend.

Graczyk and Banker fail to specifically disclose an output that accepts data signals from the modem and a modem that downloads data from one or more headend

to a local storage, wherein the data comprising information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as recited in the claim.

Palazzi discloses a television terminal comprising a television program receiver (11), a modem (4) an output (9, 10, 15) connected to the receiver (11) and modem (4), wherein the output accepts television program signals from the receiver and data signals from the modem and wherein the modem downloads data from one or more central facility (headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus. Palazzi's system provides an efficient system for creating an interactive display terminal for accessing information stored at a central facility and for downloading data for later retrieval. See abstract, col. 3, line 64 - col. 4, line 44 and col. 6, lines 17-38.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker's system to include an output that accepts data signals from a modem and a modem that downloads data from a central facility (such as a headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as taught by Palazzi, for the advantages of providing an efficient

interactive display terminal that accesses information stored at a central facility and downloads data to a local storage for later retrieval.

In analogous art, Florin teaches upgrade modules such as a modem, where a modem by definition is a modulator and demodulation, and thus adds data modulation and demodulation to the television terminal (col. 10, II. 21-26). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk, Banker, and Palazzi by using a modem upgrade module and adding data modulation and demodulation to the television terminal with the upgrade as taught by Florin in order to provide an upgradeable set top terminal thereby increasing the functionality of the device while permitting the user to add hardware for additional functionality.

Graczyk teaches upgrading a computer system with a modem, further Banker teaches the use of a modem in a set top terminal, and Florin teaches a set top terminal with a modem upgrade; however Graczyk, Banker, and Florin are silent on a card insertable into the set top terminal. Granger teaches a set top converter (claimed set top terminal) that receives a switching module, which is an upgrade module (see figures 6 and 7, col. 7, lines 20-27), which equates to a card insertable into the set top terminal. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk, Banker, and Florin by having an upgrade card insertable into the set top terminal as taught by Granger in order to provide additional functionality to an existing terminal and reducing the cost of the set top terminal before the upgrade.

Application/Control Number: 09/396,429

Art Unit: 2623

Graczyk teaches that the ROM (108) of the modem circuitry stores data to perform the functionality of produce digitized data signals from the voice signal and produce audio signals from the digital data, wherein the ROM stores the instructions (col. 7, II. 58-66, col. 7-8, II. 69-14). Consequently, Graczyk teaches software that is interactive in that the user can record audio information, wherein the ROM of Graczyk is stored in memory of the modem, which enhances functional capabilities. Graczyk teaches a processor (col. 7, II. 9-18), which reads on processing circuitry to process the inputs associated with the software.

Claim 3 is met by the combined systems of Graczyk and Banker, wherein Graczyk discloses memory (108,110) connected to the processor (RC224) of the hardware upgrade (fig. 2).

Considering claims 4-9, the combined systems of Graczyk and Banker disclose monitoring financial news via a financial news network in col. 5, lines 3-14 (Graczyk). They fail to specifically disclose that the modem is capable of communicating with interactive service, the interactive service is outside the television program delivery system, the interactive service is selected from the group consisting of home shopping, airline reservations, news, financial information, advertisement, home banking and interactive text, communicating with an on-line database and the on-line database is outside the television program delivery system as recited in the claims.

Palazzi discloses a modem that is capable of communicating with several interactive services and/or on-line databases wherein the interactive services/on-line databases are outside the television network. This provides a terminal with the ability to

efficiently communicate with various networks, interactive services and databases. See the entire reference including but not limited to column 1, line 5 - column 4, line 45. column 5, lines 63-66 and column 9, line 60 - column. 10, line 35.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the combined systems of Graczyk and Banker (if necessary) to include a modem capable of communicating with interactive service, the interactive service is outside the television program delivery system, the interactive service is selected from the group consisting of home shopping, airline reservations. news, financial information, advertisement, home banking and interactive text, communicating with an on-line database and the on-line database is outside the television program delivery system, as taught by Palazzi, for the advantage of providing a terminal with the ability to efficiently communicate with various networks, services and databases.

Regarding claim 14, Graczyk discloses a system comprising:

- a) a television program delivery system (broadcast or cable TV) (col. 5, lines 62-68);
- b) a terminal (24,26) having a microprocessor and comprising a receiver (col. 4, line 63-
- 66) adapted to receive at least some of the television program signals;
- c) a hardware upgrade (12) comprising:
 - (c1) an interface (16450 interface) (col. 7, lines 9-18) to the terminal; and
- (c2) a modem (104) connected to the interface capable of communicating with one or more headends (central facilities), wherein the RC224AT processor (claimed

microprocessor) is connected between the interface (16450) and the model (10464), see figure 2, col. 6, lines 24-38.

Further, Graczyk teaches that the upgrade circuit (44) is insertable into the computer via an ISA bus (col. 6, lines 62-67, figure 41).

Although Graczyk discloses a multipurpose television terminal (24,26) and that various modifications and alternative embodiments are apparent (col. 36, lines 14-20), he fails to specifically disclose a set top terminal having a microprocessor instructions for prompting generation of menus, a modem communicating with one or more headends, and a hardware upgrade comprising communicating and downloading data from one or more headend to a local storage, and wherein the settop terminal receives television program signals based on subscriber input as recited in the claims.

Banker discloses a system comprising:

- a) a settop terminal (40, figure 1) having microprocessor (128,136) instructions for prompting generation of menus and comprising: a television program receiver (100,150) for receiving television programs from one or more headends (10); and
- b) a modem (col. 4, lines 40-50) communicating with one or more headend (10), wherein the receiver receives television program signals based on subscriber input (see the entire reference including but not limited to col. 4, lines 40-57); and wherein modem downloads data from one or more headends to a local storage (col. 6, line 59 col. 7, line 3 and col. 7, lines 24-28).

Banker's system facilitates an efficient two-way communication, menu selection between one or more headend and a subscriber terminal with data re-programmable and downloadable dynamic features.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk's system to include a set top terminal having a microprocessor instructions for prompting generation of menus and a downloading data from one or more headend to a local storage, and wherein the settop terminal receives television program signals based on subscriber input, as taught by Banker, for the advantage of creating an efficient two-way, menu selection with dynamic reprogrammable and downloadable data processing set top terminal in communication with one or more headend.

Graczyk and Banker fail to specifically disclose an output that accepts data signals from the modem and a modem that downloads data from one or more headend to a local storage, data comprising information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as recited in the claim.

Palazzi discloses a television terminal comprising a television program receiver (11), a modem (4) an output (9, 10, 15) connected to the receiver (11) and modem (4), wherein the output accepts television program signals from the receiver and data signals from the modem and wherein the modem downloads data from one or more central facility (headend) to a local storage, wherein the data comprises information

from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus. Palazzi's system provides an efficient system for creating an interactive display terminal for accessing information stored at a central facility and for downloading data for later retrieval. See abstract, col. 3, line 64 - col. 4, line 44 and col. 6, lines 17-38.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker's system to include an output that accepts data signals from a modem and a modem that downloads data from a central facility (such as a headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as taught by Palazzi, for the advantages of providing an efficient interactive display terminal that accesses information stored at a central facility and downloads data to a local storage for later retrieval.

In analogous art, Florin teaches upgrade modules such as a modem, where a modem by definition is a modulator and demodulation, and thus adds data modulation and demodulation to the television terminal (col. 10, II. 21-26). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk, Banker, and Palazzi by using a modem upgrade module and adding data modulation and demodulation to the television terminal with the upgrade as taught by Florin in order to provide an upgradeable set top terminal thereby increasing the

functionality of the device while permitting the user to add hardware for additional functionality.

Graczyk teaches upgrading a computer system with a modem, further Banker teaches the use of a modem in a set top terminal, and Florin teaches a set top terminal with a modem upgrade; however Graczyk, Banker, and Florin are silent on a card insertable into the set top terminal. Granger teaches a set top converter (claimed set top terminal) that receives a switching module, which is an upgrade module (see figures 6 and 7, col. 7, lines 20-27), which equates to a card insertable into the set top terminal. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk, Banker, and Florin by having an upgrade card insertable into the set top terminal as taught by Granger in order to provide additional functionality to an existing terminal and reducing the cost of the set top terminal before the upgrade.

Graczyk teaches that the ROM (108) of the modem circuitry stores data to perform the functionality of produce digitized data signals from the voice signal and produce audio signals from the digital data, wherein the ROM stores the instructions (col. 7, II. 58-66, col. 7-8, II. 69-14). Consequently, Graczyk teaches software that is interactive in that the user can record audio information, wherein the ROM of Graczyk is stored in memory of the modem, which enhances functional capabilities. Graczyk teaches a processor (col. 7, II. 9-18), which reads on processing circuitry to process the inputs associated with the software.

The combined systems of Graczyk and Banker, wherein Graczyk discloses an expansion slot in figure 45 that accepts the interface connector as indicated in figure 41.

As for claim 18, Graczyk and Banker disclose an electronic visual communication system and more particularly a multi-purpose computerized television system (Graczyk, col. 1, lines 5-10). They also disclosed that various modifications and alternative embodiments are apparent to a person skill in the art. (Graczyk, col. 36, lines 14-22). However, they fail to specifically disclose that the terminal is an HDTV terminal as recited in the claim.

The examiner takes Official Notice that HDTV terminals are notoriously old and well-known terminals in the art for receiving high-resolution television signals and these terminals can be integrated with any other television receiving apparatus.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the combined systems of Graczyk and Banker to include the terminal to be a HDTV terminal because it is a well-known, readily available and modifiable terminal for receiving high-resolution television signals.

Claim 19 is met by the combined systems of Graczyk and Banker, wherein Graczyk discloses/illustrates the additional cards, connectors or modules in figures 42, 43 and 44. Note also that figure 45 illustrates the capability of accepting additional cards or boards or modules.

Claim 23 is met by the combined systems of Graczyk and Banker, wherein Graczyk discloses audio program reception hardware (see 18-figure 1 or 530-figure 43 or 510-figure 44).

Considering claim 24, Graczyk discloses a system comprising:

- a) a television program delivery system (broadcast or cable TV) (col. 5, lines 62-68);
- b) a terminal (24,26) having a microprocessor and comprising a receiver (col. 4, line 63-
- 66) adapted to receive at least some of the television program signals;
- c) a hardware upgrade (12) comprising:
 - (c1) an interface (16450 interface) (col. 7, lines 9-18) to the terminal; and
- (c2) a modem (104) connected to the interface capable of communicating with one or more headends (central facilities), wherein the RC224AT processor (claimed microprocessor) is connected between the interface (16450) and the model (10464), see figure 2, col. 6, lines 24-38.

Further, Graczyk teaches that the upgrade circuit (44) is insertable into the computer via an ISA bus (col. 6, lines 62-67, figure 41).

Although Graczyk discloses a multipurpose television terminal (24,26) and that various modifications and alternative embodiments are apparent (col. 36, lines 14-20), he fails to specifically disclose a set top terminal having a microprocessor instructions for prompting generation of menus, a modem communicating with one or more headends, and a hardware upgrade comprising communicating and downloading data from one or more headend to a local storage, and wherein the settop terminal receives television program signals based on subscriber input as recited in the claims.

Banker discloses a system comprising:

a) a settop terminal (40, figure 1) having microprocessor (128,136) instructions for prompting generation of menus and comprising: a television program receiver (100,150) for receiving television programs from one or more headends (10); and

b) a modem (col. 4, lines 40-50) communicating with one or more headend (10), wherein the receiver receives television program signals based on subscriber input (see the entire reference including but not limited to col. 4, lines 40-57); and wherein modem downloads data from one or more headends to a local storage (col. 6, line 59 – col. 7, line 3 and col. 7, lines 24-28).

Banker's system facilitates an efficient two-way communication, menu selection between one or more headend and a subscriber terminal with data re-programmable and downloadable dynamic features.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk's system to include a set top terminal having a microprocessor instructions for prompting generation of menus and a downloading data from one or more headend to a local storage, wherein the settop terminal receives television program signals based on subscriber input, and a modem communicating with one or more headends as taught by Banker, for the advantage of creating an efficient two-way, menu selection with dynamic re-programmable and downloadable data processing set top terminal in communication with one or more headend.

Graczyk and Banker fail to specifically disclose an output that accepts data signals from the modem and a modem that downloads data from one or more headend to a local storage, wherein the data comprising information from an interactive service

for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as recited in the claim.

Palazzi discloses a television terminal comprising a television program receiver (11), a modem (4) an output (9, 10, 15) connected to the receiver (11) and modem (4), wherein the output accepts television program signals from the receiver and data signals from the modem and wherein the modem downloads data from one or more central facility (headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus. Palazzi's system provides an efficient system for creating an interactive display terminal for accessing information stored at a central facility and for downloading data for later retrieval. See abstract, col. 3, line 64 - col. 4, line 44 and col. 6, lines 17-38.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker's system to include an output that accepts data signals from a modem and a modem that downloads data from a central facility (such as a headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as taught by Palazzi, for the advantages of providing an efficient

interactive display terminal that accesses information stored at a central facility and downloads data to a local storage for later retrieval.

In analogous art, Florin teaches upgrade modules such as a modem, where a modem by definition is a modulator and demodulation, and thus adds data modulation and demodulation to the television terminal (col. 10, II. 21-26). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk, Banker, and Palazzi by using a modem upgrade module and adding data modulation and demodulation to the television terminal with the upgrade as taught by Florin in order to provide an upgradeable set top terminal thereby increasing the functionality of the device while permitting the user to add hardware for additional functionality.

Graczyk teaches upgrading a computer system with a modem, further Banker teaches the use of a modem in a set top terminal, and Florin teaches a set top terminal with a modem upgrade; however Graczyk, Banker, and Florin are silent on a card insertable into the set top terminal. Granger teaches a set top converter (claimed set top terminal) that receives a switching module, which is an upgrade module (see figures 6 and 7, col. 7, lines 20-27), which equates to a card insertable into the set top terminal. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk, Banker, and Florin by having an upgrade card insertable into the set top terminal as taught by Granger in order to provide additional functionality to an existing terminal and reducing the cost of the set top terminal before the upgrade.

Graczyk teaches that the ROM (108) of the modem circuitry stores data to perform the functionality of produce digitized data signals from the voice signal and produce audio signals from the digital data, wherein the ROM stores the instructions (col. 7, II. 58-66, col. 7-8, II. 69-14). Consequently, Graczyk teaches software that is interactive in that the user can record audio information, wherein the ROM of Graczyk is stored in memory of the modem, which enhances functional capabilities. Graczyk teaches a processor (col. 7, II. 9-18), which reads on processing circuitry to process the inputs associated with the software.

Graczyk and Banker disclose receiving television signals from broadcast and cable television stations (col. 5, lines 61-68). Graczyk and Banker disclose receiving television signals from broadcast and cable television stations (col. 5, lines 61-68). However, they fail to specifically disclose an operations center and one or more headends as recited in the claims.

The examiner takes Official Notice that an operations center (a central facility to a headend or master headend), one or more headends system are notoriously old and well-known communication stations that broadcast television signals to subscribers. At these stations (headend, central facilities), television programs are received, processed and prepared for transmission to subscribers.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the combined systems of Graczyk and Banker (if necessary) to include an operations center, one or more headends because these are

typical places where television signals are received processed and prepared for transmission to subscribers.

Regarding claims 28 and 29, Graczyk and Banker disclose receiving television signals from broadcast and cable television stations (col. 5, lines 61-68), but are silent on satellite broadcast systems and an HDTV terminal. Official Notice is taken that satellites and HDTV terminals are well known in the art. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk and Banker by using satellites and HDTV terminals in order to provide services via different communication mediums while also enhancing the quality of the video programming.

6. Claims 30-32, 34, 37, 40-41, 45-50, 55, 56 and 58-59 are rejected under 35 U.S.C. 103(a) as being unpatentable over Palazzi (of record) in view of Banker et al. (Banker) and Florin.

Considering claim 30, Banker discloses a television terminal (40,44, or 48) having microprocessor (128,136) instructions for prompting generation of menus, the television terminal comprising:

- a) a television program receiver (100,150) for receiving television programs from one or more headends (10);
- b) an interface (124, 126) to the television terminal for receiving and processing subscriber input (col. 5, lines 7-25);

c) modem (col. 4, lines 40-50) communicating with one or more headend (10), wherein the receiver receives television program signals based on subscriber input (see the entire reference including but not limited to col. 4, lines 40-57), wherein the terminal downloads data from one or more headends to a local storage (col. 6, line 59 – col. 7, line 3 and col. 7, lines 24-28);

d) an output (142, TV 42) connected to the receiver (100,150) and the modem, wherein the output accepts television program signals from the receiver.

Banker fail to specifically disclose an output that accepts data signals from the modem and a modem that downloads data from one or more headend to a local storage, wherein the data comprising information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as recited in the claim.

Palazzi discloses a television terminal comprising a television program receiver (11), a modem (4) an output (9, 10, 15) connected to the receiver (11) and modem (4), wherein the output accepts television program signals from the receiver and data signals from the modem and wherein the modem downloads data from one or more central facility (headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus. Palazzi's system provides an efficient system for creating an interactive display terminal for accessing information stored at a central facility and for

downloading data for later retrieval. See abstract, col. 3, line 64 - col. 4, line 44 and col. 6, lines 17-38.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker's system to include an output that accepts data signals from a modem and a modem that downloads data from a central facility (such as a headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as taught by Palazzi, for the advantages of providing an efficient interactive display terminal that accesses information stored at a central facility and downloads data to a local storage for later retrieval.

Additionally, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker's system (if necessary) to include downloading data from a headend to local storage in a television terminal via a modem since Palazzi demonstrated that modems are used to receive data for local storage in a television terminal from central facilities.

Palazzi and Banker both teaches the use of modems, further Banker teaches the use of a modem in a set top terminal; however Palazzi and Banker are silent on a set top terminal with a modem hardware upgrade.

In analogous art, Florin teaches upgrade modules such as a modem, where a modem by definition is a modulator and demodulation, and thus adds data modulation and demodulation to the television terminal (col. 10, II. 21-26). Therefore, it would have

been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker and Palazzi by using a modem upgrade module and adding data modulation and demodulation to the television terminal with the upgrade as taught by Florin in order to provide an upgradeable set top terminal thereby increasing the functionality of the device while permitting the user to add hardware for additional functionality.

Banker teaches the use of a modem in a set top terminal, and Florin teaches a set top terminal with a modem upgrade; however Banker, Palazzi, and Florin are silent on a card insertable into the set top terminal. Granger teaches a set top converter (claimed set top terminal) that receives a switching module, which is an upgrade module (see figures 6 and 7, col. 7, lines 20-27), which equates to a card insertable into the set top terminal. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker, Palazzi and Florin by having an upgrade card insertable into the set top terminal as taught by Granger in order to provide additional functionality to an existing terminal and reducing the cost of the set top terminal before the upgrade.

The combined systems of Banker and Palazzi, wherein Palazzi discloses interactive services/on-line databases provided by the host databases that are external to the television program delivery system throughout the entire reference including but not limited to column 1, line 5 - column 4, line 45, column 5, lines 63-66 and column 9, line 60 - column 10, line 35.

Claim 31 is met by the combined systems of Banker and Palazzi, wherein Banker discloses television (42,46 or 50, figure 1) and Palazzi discloses television (15).

Claim 32 is met by the combined systems of Banker and Palazzi, wherein Palazzi discloses a connector port (10) and Banker's modulator (142) is inherently connected to television (42) via a connector port.

Claim 34 is met by the combined systems of Banker and Palazzi, wherein Banker discloses a memory (137,134) and Palazzi discloses a memory at col. 6, lines 18-45, 53-54, col. 7, lines 62-68 and col. 9, lines 20-40.

Claim 37 is met by the combined systems of Banker and Palazzi, wherein Palazzi discloses interactive services/on-line databases provided by the host databases that are external to the television program delivery system throughout the entire reference including but not limited to column 1, line 5 - column 4, line 45, column 5, lines 63-66 and column 9, line 60 - column. 10, line 35.

Claim 40 are met by the combined systems of Banker and Palazzi, wherein Palazzi discloses online database(s) containing travel information, stock quotation and other data throughout the reference including but not limited to col. 1, lines 15-23, col. 3, lines 25-60 and col. 9, line 60 - col. 10, line 35.

Claim 41 are met by the combined systems of Banker and Palazzi, wherein Palazzi discloses HDTV capability in col. 6, lines 55-64.

Application/Control Number: 09/396,429

Art Unit: 2623

Considering claim 42, Banker discloses a method for delivering television programs through a television delivery system (figure 1) with menu selection of programs (figures 5-9) comprising

a) receiving (100,150) a television program from one or more headends (10);

Page 27

- b) receiving subscriber input through an interface (124, 126) within a set top terminal, the set top terminal having a microprocessor (128,136) instructions for prompting generation of menus (col. 5, lines 7-25);
- c) communicating through a modem (col. 4, lines 40-50) with one or more headend (10), comprising transmitting data based on subscriber input (see the entire reference including but not limited to col. 4, lines 40-57); and
 - d) displaying television programs.

However, Banker fails to specifically disclose receiving data from one or more headend and displaying television program and/or information based on the received data as recited in the claim, wherein the data comprising information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as recited in the claim.

Palazzi, discloses a method comprising:

- a) receiving a television program (11) (col. 7, lines 54-61);
- b) receiving subscriber input (col. 5, lines 63-66 & col. 8, line 23 col. 9, line 20);
- c) communicating through a modem comprising:

(c1) transmitting data based on subscriber input via (keyboard 12) (col. 7, line 62

Page 28

- col. 9, line 20)

(c2) receiving data (col. 5, lines 63-66 and col. 9, lines 2-20); and

d) displaying the television program and/or information based on the received

data (see the entire reference including but not limited to col. 3, line 64 - col. 4, line 16,

col. 7, lines 54-61 and col. 9, lines 4-29). Palazzi's system provides an efficient system

for creating an interactive display terminal for accessing information stored in remote

computer databases. See abstract, col. 3, line 64 - col. 4, line 44.

Palazzi discloses a television terminal comprising a television program receiver (11), a modem (4) an output (9, 10, 15) connected to the receiver (11) and modem (4), wherein the output accepts television program signals from the receiver and data signals from the modem and wherein the modem downloads data from one or more central facility (headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus. Palazzi's system provides an efficient system for creating an interactive display terminal for accessing information stored at a central facility and for downloading data for later retrieval. See abstract, col. 3, line 64 - col. 4, line 44 and col. 6, lines 17-38.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker's system to include receiving data from one or more headend and displaying television program and/or information based on the

received data, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as taught by Palazzi, for the advantages of providing an efficient interactive display terminal that accesses information stored in remote computer databases and that provides a display of television programs and/or information.

Palazzi and Banker both teaches the use of modems, further Banker teaches the use of a modem in a set top terminal; however Palazzi and Banker are silent on a set top terminal with a hardware upgrade. Granger teaches a set top converter (claimed set top terminal) that receives a switching module, which is an upgrade module (see figures 6 and 7, col. 7, lines 20-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk and Banker by having an upgrade for a set top terminal as taught by Granger in order to provide additional functionality to an existing terminal and reducing the cost of the set top terminal before the upgrade.

Palazzi and Banker both teaches the use of modems, further Banker teaches the use of a modem in a set top terminal; however Palazzi, Banker, and Granger are silent on a set top terminal with a modem hardware upgrade.

In analogous art, Florin teaches upgrade modules such as a modem, where a modem by definition is a modulator and demodulation, and thus adds data modulation and demodulation to the television terminal (col. 10, II. 21-26). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to

modify Banker, Palazzi, and Granger by using a modem upgrade module and adding data modulation and demodulation to the television terminal with the upgrade as taught by Florin in order to provide an upgradeable set top terminal thereby increasing the functionality of the device while permitting the user to add hardware for additional functionality.

The combined systems of Banker and Palazzi, wherein Palazzi discloses the various memory devices at col. 6, lines 18-45, 53-54, col. 7, lines 60, and col. 9, line 60 – col. 10, line 35.

Claims 45-49 are met by the combined systems of Banker and Palazzi, wherein Palazzi discloses interactive services/on-line databases provided by the host databases that are external to the television program delivery system throughout the entire reference including but not limited to column 1, line 5 - column 4, line 45, column 5, lines 63-66 and column 9, line 60 - column. 10, line 35.

Claim 50 is met by the combined systems of Banker and Palazzi, wherein Palazzi discloses that online database contains travel information, stock quotation and other data throughout the reference including but not limited to col. 1, lines 15-23, col. 3, lines 25-60 and col. 9, line 60 - col. 10, line 35.

Claim 55 is met by the combined systems of Banker and Palazzi, wherein Palazzi discloses processing of stored digital data throughout the reference including but not limited col. 6, lines 18-64 and col. 9, lines 20-40.

Claim 56 is met by the combined systems of Banker and Palazzi, wherein Palazzi discloses stored data concerning banking services (economics) and any other local national or regional information services (reference) throughout the entire reference including but not limited to col. 9, line 60 - col. 10, line 4.

Claim 58 is met by the combined systems of Banker and Palazzi, wherein Palazzi discloses remote input from keypad (16), keyboard (12) or the keyboard connected to the CPU via a wireless link (see illustration in figure 1).

Claim 59 is met by is met by the combined systems of Banker and Palazzi, wherein Banker discloses menu generation in figures 5-9 and Palazzi discloses generating menus at col. 9, lines 13-40 and col. 10, lines 16-18.

8. Claims 42-43 are rejected under 35 U.S.C. 103(a) as being unpatentable over Banker, Palazzi, and Florin in view of Vogel (of record).

Considering claim 42, Banker discloses a method for delivering television programs through a television delivery system (figure 1) with menu selection of programs (figures 5-9) comprising

- a) receiving (100,150) a television program from one or more headends (10);
- b) receiving subscriber input through an interface (124, 126) within a set top terminal, the set top terminal having a microprocessor (128,136) instructions for prompting generation of menus (col. 5, lines 7-25);

Application/Control Number: 09/396,429

Art Unit: 2623

c) communicating through a modem (col. 4, lines 40-50) with one or more headend (10), comprising transmitting data based on subscriber input (see the entire reference including but not limited to col. 4, lines 40-57); and

d) displaying television programs.

However, Banker fails to specifically disclose receiving data from one or more headend and displaying television program and/or information based on the received data as recited in the claim.

Palazzi, discloses a method comprising:

- a) receiving a television program (11) (col. 7, lines 54-61);
- b) receiving subscriber input (col. 5, lines 63-66 & col. 8, line 23 col. 9, line 20);
- c) communicating through a modem comprising:
- (c1) transmitting data based on subscriber input via (keyboard 12) (col. 7, line 62 col. 9, line 20)
- (c2) receiving data (col. 5, lines 63-66 and col. 9, lines 2-20); and d) displaying the television program and/or information based on the received data (see the entire reference including but not limited to col. 3, line 64 col. 4, line 16, col. 7, lines 54-61 and col. 9, lines 4-29). Palazzi's system provides an efficient system for creating an interactive display terminal for accessing information stored in remote computer databases. See abstract, col. 3, line 64 col. 4, line 44.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker's system to include receiving data from one or more headend and displaying television program and/or information based on the

received data, as taught by Palazzi, for the advantages of providing an efficient interactive display terminal that accesses information stored in remote computer databases and that provides a display of television programs and/or information.

Palazzi and Banker both teaches the use of modems, further Banker teaches the use of a modem in a set top terminal; however Palazzi and Banker are silent on a set top terminal with a hardware upgrade. Granger teaches a set top converter (claimed set top terminal) that receives a switching module, which is an upgrade module (see figures 6 and 7, col. 7, lines 20-27). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Graczyk and Banker by having an upgrade for a set top terminal as taught by Granger in order to provide additional functionality to an existing terminal and reducing the cost of the set top terminal before the upgrade.

Palazzi and Banker both teaches the use of modems, further Banker teaches the use of a modem in a set top terminal; however Palazzi, Banker, and Granger are silent on a set top terminal with a modem hardware upgrade.

In analogous art, Florin teaches upgrade modules such as a modem, where a modem by definition is a modulator and demodulation, and thus adds data modulation and demodulation to the television terminal (col. 10, II. 21-26). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Banker, Palazzi, and Granger by using a modem upgrade module and adding data modulation and demodulation to the television terminal with the upgrade as taught by Florin in order to provide an upgradeable set top terminal thereby increasing the

Application/Control Number: 09/396,429

Art Unit: 2623

functionality of the device while permitting the user to add hardware for additional functionality.

The combined systems of Banker and Palazzi, wherein Palazzi discloses the various memory devices at col. 6, lines 18-45, 53-54, col. 7, lines 60, and col. 9, line 60 – col. 10, line 35.

The combined systems of Banker, Palazzi and Vogel teaches monitoring, because Vogel discloses monitoring for reception of the program schedule information and then retrieving digital data after the reception of the program schedule information in col. 3, line 2 - col. 4, line 5.

Considering claim 43, Banker and Palazzi disclose receiving various types of data but they fail to specifically disclose that the data is information concerning television program and that the information is selected from a group consisting of quizzes, facts, geographical information and product information as recited in the claims.

Vogel discloses data/information concerning television programs (program schedule). Program schedule information includes facts and description of television programs. See the entire reference including but not limited to col. 3, lines 45-65 and col. 8, lines 36-46.

It would have been obvious to one of ordinary skill in the art at the time the invention was made at the time the invention was made to modify the combined systems of Banker and Palazzi to include information concerning a television program and that the information is to be selected from a group consisting of at least quizzes,

facts, geographical information and product information, as taught by Vogel, for the typical advantage of receiving program schedule information about programs to inform viewers about current and future television programs.

5. Claims 52-54 are rejected under 35 U.S.C. 103(a) as being unpatentable over Banker, Palazzi, and Florin in view of Sprague (of record).

Considering claims 52 -54, the combined systems of Banker and Palazzi disclose that various types of memory devices may be used (Palazzi, col. 6, lines 34-38).

However, they fail to specifically disclose that the memory device is a CD-ROM as recited in the claims.

Sprague discloses that CD-ROMS are conventional and commercially available memory devices for storing data or information. See col. 2, lines 6-39 and col.19, lines 1-16.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the combined systems of Banker and Palazzi to include the memory device to be a CD-ROM, as taught by Sprague, for the typical advantage of using a conventional and commercially available device to store data.

6. Claims 60, 63, and 64 are rejected under 35 U.S.C. 103(a) as being unpatentable over Granger in view of Wachob (U.S. Patent 5,231,494), Florin, Graczyk, and Palazzi.

Regarding claim 60, Granger teaches a set top converter (claimed set top terminal) that receives a switching module, which upgrades the capabilities of the converter (see figures 6 and 7, col. 7, lines 20-27), wherein the switching module is a card insertable into the set top converter.

Granger teaches receiving and displaying television signals on a television (col. 6, II. 52-59). Granger is silent on the set top converter receiving compressed and decompressing, a set top terminal interface for enabling communication with the set top terminal, and a modem for providing on-line communications with a content provider.

In analogous art, Wachob teaches receiving and decompressing television signals for display (col. 6, II. 7-24). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Granger by receiving compressed signals and decompressing the signals for display as taught by Wachob in order to more efficiently use bandwidth in the channel, thereby enabling more information to be sent to the user.

Granger teaches a card insertable as a hardware upgrade, but is silent on a set top terminal with a modern hardware upgrade.

In analogous art, Florin teaches upgrade modules such as a modem, where a modem by definition is a modulator and demodulation, and thus adds data modulation and demodulation to the television terminal (col. 10, II. 21-26). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Granger and Wachob by using a modem upgrade module and adding data modulation and demodulation to the television terminal with the upgrade as taught by

Application/Control Number: 09/396,429

Art Unit: 2623

Florin in order to provide an upgradeable set top terminal thereby increasing the functionality of the device while permitting the user to add hardware for additional functionality.

The combination of Granger, Wachob, and Florin teaches an interface for enabling communication with the set top terminal in that the card is insertable into the device, as discussed above.

The combination of Granger, Wachob, and Florin teaches a STT including a first processor for controlling said circuitry (Wachob: fig. 2, label 64).

The combination of Granger, Wachob, and Florin are silent on an upgrade processor for communicating with the first processor via said STT interface, wherein said upgrade processor controls said upgrade modem. In analogous art, Graczyk a modem (104) connected to the interface wherein the RC224AT processor (claimed microprocessor) is connected between the interface (16450) and the model (10464), see figure 2, col. 6, lines 24-38.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Granger, Wachob, and Florin by using an upgrade processor for communicating with the first processor via said STT interface, wherein said upgrade processor controls said upgrade modem as taught by Graczyk in order to efficiently process information, while coordinating the modem thereby enabling the modem to provide useful information to the user.

The combination fails to specifically disclose accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as recited in the claim.

Palazzi discloses a television terminal comprising a television program receiver (11), a modem (4) an output (9, 10, 15) connected to the receiver (11) and modem (4), wherein the output accepts television program signals from the receiver and data signals from the modem and wherein the modem downloads data from one or more central facility (headend) to a local storage, wherein the data comprises information from an interactive service for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus. Palazzi's system provides an efficient system for creating an interactive display terminal for accessing information stored at a central facility and for downloading data for later retrieval. See abstract, col. 3, line 64 - col. 4, line 44 and col. 6, lines 17-38.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the system of Granger for accessing an on-line database thereby allowing actual transactions using two-way communications over the modem with the interactive service via submenus as taught by Palazzi, for the advantages of providing an efficient interactive display terminal that accesses information stored at a central facility and downloads data to a local storage for later retrieval.

Regarding claim 63, the combination of Granger, Wachob and Florin teaches a microprocessor connected between the interface and the modem.

Regarding claim 64, the combination of Granger, Graczyk, and Wachob teaches a tuner for selecting a data stream, a demodulator, and a demultiplexer (col. 6, II. 7-24), but is silent on the data being coupled to an upgrade decryption module. Official Notice is taken that a decryptor is well known in the art, which equates to an upgrade decryption module in that by decryption adds the additional benefit of protecting data, which is an "upgrade" to systems without decryption modules. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Granger, Wachob, and Florin by decrypting data in order to enhance the security of information.

7. Claims 61 and 62 are rejected under 35 U.S.C. 103(a) as being unpatentable over Granger, Wachob, Florin, and Graczyk in view of Pond (U.S. Patent 5,329,590).

Regarding claim 61, Granger, Graczyk, Wachob, and Florin are silent on the content being something other than a television program signals. In analogous art, Pond teaches communicating with the headend for sending billing information and downloading a list of program events (col. 8, II. 44-59), which is content other than said television program signals. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Granger, Graczyk, Wachob, and Florin by retrieving information other than television signals as taught by Pond in order to provide additional information to the user.

Regarding claim 62, the combination of Granger, Graczyk, Wachob, Florin and Pond has been addressed in the discussion of claim 61; Pond teaches downloading data from a central provider to local storage in order to display the receiving information to the viewer (col. 8, II. 44-59).

Page 40

Conclusion

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andrew Y. Koenig whose telephone number is (571) 272-7296. The examiner can normally be reached on M-Fr (8:30 - 5:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Miller can be reached on (571)272-7353. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1009

Andrew Y Koenig Primary Examiner

Art Unit 2623

ayk